

06/23/00
1c866 U.S. PTO

06.26 60
OSTROLENK, FABER, GERB & SOFFEN, LLP
Attorneys at Law
1180 Avenue of the Americas
New York, New York 10036-8403

(212) 382-0700

Telex
23 6925

Facsimile
(212) 382-0888

Cable

Ostrofaber New York

1c714 U.S. PTO
09/603523
06/23/00

Express Mail #EL583738665US

Asst. Commissioner for Patents
Washington, DC 20231

OFGS File No. : P/3331-131
Inventor : Edward HOWORKA et al.
Title : ARCHITECTURE FOR AN ANONYMOUS TRADING SYSTEM

Enclosed herewith please find the following documents in the above-identified application for United States Letters Patent:

21 Pages of Specification including Abstract and Claims
12 Numbered Claims Calculated as 12 Claims for Fee Purposes, following Preliminary Amendment
6 Sheets of Drawing Containing Figures 1 to 6
xxx Declaration and Power of Attorney (unsigned)
--- Priority is Claimed under 35 U.S.C. §119:
Convention Date _____ for _____ Appln. S.N. _____
--- Certified Priority Application
--- Verified Statement Claiming Small Entity Status under 37 C.F.R. §1.27.
--- Assignment
xxx Return-Addressed Post Card

OFGS Check No. 95094, which includes the fee of \$690.00 calculated as follows:

Basic Filing Fee: \$ 690.00
Additional Filing Fees:
Total Number of Claims in Excess of 20, times \$18:
Number of Independent Claims in Excess of 3, times \$78:
One or More Multiple Dependent Claims: Total \$260.
Total Filing Fees or
Total Filing Fee Reduced 50% for Small Entity:
Assignment Recording Fee: \$40
TOTAL Filing Fee and Assignment Recording Fee: \$ 690.00

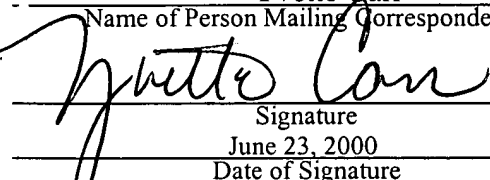
In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

EXPRESS MAIL CERTIFICATE

Respectfully submitted,


I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL583738665US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on June 23, 2000:

Yvette Carr

Name of Person Mailing Correspondence


Signature
June 23, 2000

Date of Signature



William O. Gray, III
Registration No.: 30,944
OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas
New York, New York 10036-8403
Telephone: (212) 382-0700